

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Hiroaki TAKEHARA et al.**

Group Art Unit: **1754**

Application Number: **10/735,824**

Examiner: **Alvin T. Raetzsch**

Filed: **December 16, 2003**

Confirmation Number: **2855**

For: **METHOD FOR PRODUCING FULLERENES**

Attorney Docket Number: **032191**

Customer Number: **38834**

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(c)(1)/(e)(1)

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

August 16, 2006

Sir:

Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached Form PTO/SB/08. A copy of each non - U.S. document is attached.

This Information Disclosure Statement is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date of the above-captioned application, but prior to issuance of either a final official action or a Notice of Allowance. A check is attached hereto which covers the \$180.00 fee set forth in 37 C.F.R. §1.17(p).

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents:

WO03-02016 is submitted for JP2005-501792. WO03-02016 is the English language publication of the PCT application corresponding to JP2005-501792;

08/17/2006 HALI11 00000068 10735824
01 FC:1806 180.00 OP

Information Disclosure Statement under 1.97(c)(1)
Attorney Docket No. 032191
Application No. 10/735,824

WO03-0201402 is submitted for JP2005-501789. WO03-0201402 is the English language publication of the PCT application corresponding to JP2005-501789;

A JPO machine English language translation of JP06-056414 is enclosed. The Japanese language copy of JP06-056414 and an English language Abstract was previously submitted;

A JPO machine English language translation of JP2003-238128 is enclosed. The Japanese language copy of JP2003-238128 and an English language Abstract was previously submitted;

A JPO machine English language translation of JP09-227111 is enclosed. The Japanese language copy of JP09-227111 and an English language Abstract was previously submitted;

A JPO machine English language translation of JP2003-160318 is enclosed. The Japanese language copy of JP2003-160318 and an English language Abstract was previously submitted;

A JPO machine English language translation of JP11-179129 is enclosed. The Japanese language copy of JP11-179129 and an English language Abstract was previously submitted; and

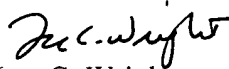
A JPO machine English language translation of JP2003-221216 is enclosed. The Japanese language copy of JP2003-221216 and an English language Abstract was previously submitted.

Also enclosed is an Office Action from the JPO in a counterpart application citing JP2005-501792, JP2005-501789 and JP06-056414. The Office Action was received by the Japanese associate from the JPO on May 16, 2006.

Information Disclosure Statement under 1.97(c)(1)
Attorney Docket No. 032191
Application No. 10/735,824

If there are any fees due in connection with the filing of this paper, please charge Deposit
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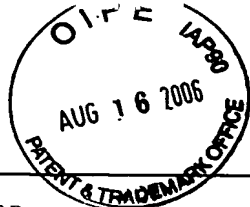
Respectfully submitted,
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Enclosures: PTO/SB/08
Foreign Communication
Document(s)



Combined Form PTO/SB/08A&B <u>INFORMATION DISCLOSURE</u> <u>STATEMENT BY APPLICANT</u> <i>(use as many sheets as necessary)</i>			<i>Complete if Known</i>		
			Application Number	10/735,824	
			Confirmation Number	2855	
			Filing Date	December 16, 2003	
			First Named Inventor	Hiroaki TAKEHARA	
			Art Unit	1754	
Examiner Name	Alvin T. Raetzsch	Attorney Docket Number	032191		
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
	1.	WO	03-021016	A1	03-13-2003	TDA RESEARCH INCORPORATED	
	2.	JP	2005-501792	A	01-20-2005	TDA RESEARCH INCORPORATED	Yes
	3.	JP	2005-501789	A	01-20-2005	TDA RESEARCH INCORPORATED	Yes
	4.	WO	03-020402	A1	03/13/2003	TDA RESEARCH INCORPORATED	
	5.	JP	06-056414	A	08-03-1992	MITSUI ENGINEERING & SHIPBUILDING CO., LTD.	Yes
	6.	JP	2003-238128	A	08-27-2003	MITSUBISHI CHEMICAL CORPORATION	Yes
	7.	JP	09-227111	A	09-02-1997	NIPPON SANSO CORPORATION	Yes
	8.	JP	2003-160318	A	06-03-2003	MITSUBISHI CHEMICAL CORPORATION	Yes
	9.	JP	11-179129	A	07-06-1999	NIPPON KOKAN KABUSHIKI KAISHA	Yes
	10.	JP	2003-221216	A	08-05-2003	MITSUBISHI CHEMICAL CORPORATION	Yes

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
	11.	Japanese Office Action dated May 16, 2006 (mailing date), issued in corresponding Japanese Patent Application Number 2003-060316.	No

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.